

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

**Dennis M. Michon**

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This brochure supplement provides information about Dennis Michon that supplements the Forum Financial Management, LP brochure. You should have received a copy of that brochure. Please contact us at 630-873-8520 if you did not receive Forum Financial Management, LP's brochure or if you have any questions about the contents of this supplement.

Additional information about Dennis Michon is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

**Full Legal Name:** Dennis Michael Michon

**Born:** 1954

### Education Background:

- The John Marshall Law School, Juris Doctor, 1980
- De Paul University, BSC, Accounting, 1976

### Business Experience

- Forum Financial Management, LP, Investment Adviser Representative, 10/2012 to Present.
- Michon & Buckley, Partner/Law Firm, 12/2005 to Present.
- Tax & Financial Solutions, Inc., President, 12/2005 to Present.
- McScan Consulting, President, 07/2001 to 11/2005.

### Designations/Certifications:

Dennis Michon has earned the following designation(s)/certification(s) and is in good standing with the granting authority:

- Certified Public Accountant (CPA), 1977
- NASAA Series 65 - Uniform Investment Advisor Law Examination, 2012

Please refer to Part 2B Appendix 1 for definitions of the above designations.

## Item 3 Disciplinary Information

Dennis M. Michon has no reportable disciplinary history.

## Item 4 Other Business Activities

Dennis Michon is also President and a Certified Public Accountant at Tax & Financial Solutions, Inc., an accounting firm, and a Partner of Michon & Buckley, a Law Firm. Clients of Tax & Financial Solutions, Inc. and Michon & Buckley may also be clients of Mr. Michon in his separate capacity as an investment adviser representative of Forum Financial Management, LP. The services provided and compensation received by Mr. Michon for accounting and legal related activities are separate and distinct from any fees paid for advisory services provided through Forum Financial Management, LP. Mr. Michon's outside business activities present a conflict of interest because Mr. Michon may have an incentive to recommend accounting and legal services to you for the purpose of generating fees. Mr. Michon spends 65% of his professional time in his capacity as a certified public accountant and 25% of his professional time in his capacity as an attorney.

## Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Michon's receipt of additional compensation as a result of his activities as President and Certified Public Accountant at Tax & Financial Solutions, Inc. and Partner of Michon & Buckley.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Forum Financial Management, LP's firm brochure for additional disclosures on this topic.

## Item 6 Supervision

Forum has implemented a Code of Ethics and an internal compliance program that guides each associated person in meeting their fiduciary obligations to clients. Advice provided to clients is directed by Forum's investment philosophy. The firm monitors the advice given to Forum's advisory clients by its advisors in the following ways:

1. an initial review of the asset allocation for a new client as compared to the client's risk tolerance and investment objectives;
2. periodic reviews of a random number of the advisor's client files in order to provide reasonable assurance that the advice provided by the advisor to his or her clients is consistent with the client's stated investment objectives and Forum's policies and procedures, and
3. in-person or telephonic meetings with advisors to review client activity. Moreover, on at least an annual basis, Forum offers training to each of its advisors to cover investments, new products, and related compliance concerns.

Dennis M. Michon is supervised by Faye H. Nybo, Director of Supervision. Ms. Nybo can be reached at (630) 873-8503 or [fnybo@forumfin.com](mailto:fnybo@forumfin.com).

## Item 7 Appendix 1

**Certified Public Accountant (CPA)** - CPA's are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.